Request form for change of Tax Status



4 - 11-2 11-11-1 B-1-2-					
1. Unit Holder Details :					
Folio Number					
Name of Sole/First Unit Holder					
2. Change in Tax Status [Please ✓]:	☐ RI to NRI-Non Repatriable ☐ NRI-Repatriable to RI	□ NRI-Non Repatriable to RI			
3. Overseas Address (in case of NRIs/Fils) (Mandatory)					
4. To Update Bank Details (Refer Instruction 5) Please enclose an original cancelled cheque leaf / passbook wherein the bank account number and					
mutual fund first holder name are printed (Mandatory) or a copy of the same attested by your current banker.					
Bank Name	Bank Branch & City				
Account No.	Account Type				
MICR Code*	IFSC/RTGS Code*				
*(9-digit number next to your cheque number	r) (*11 character code printe	d on a cheque / passbook)			
5. Go Green Services (Save The Future): P	lease provide Contact Details of First / Sole Applicant				
E-Mail					
STD Tele	phone Mobile				
I/We would like to receive Account Statements, Ann	ual Reports and other information by email and SMS updates on mo	bile □ Yes □ No			
6. Mandatory Documents to be submitted	ed				
 □ Self Attested KYC Letter □ NRO / SB a/c cancelled cheque leaf / Bank passbook / Bank a/c statement wherein the bank account number and mutual fund first holder name are printed. □ FATCA / Additional KYC □ Proof of existing bank details Please see FATCA & KYC form on the reverse side.					
Note: Please produce originals for verification, if copies are not attested by Notary/Gazetted Officers/Bank Managers/Indian Embassy/Consulate General. The Fund and the RTA reserve a right to call the customer for any additional documents or in-person verification (refer point 7) and keeps this request pending.					
7. IPV Section (For office Use) (In case the Fund House/R&T feels the need for IPV as an additional verification procedure)					
Card/Photo Identification Proof PAN Card/Photo Signed in official's presence Sign matches with signature on Bank attestation Card/Photo Identification Proof Design Organ Emplo	ination:ization:	Official signature with Company Seal			
8. Declaration and Signatures (as existing in records):					
I/we request Sundaram Mutual Fund (the fund) to update new mode of holding and/or tax status and bank details (wherever applicable) in the folio/s and various records as per details mentioned above. I/we hereby agree that new mode of holding and/or tax status and/or bank details will be valid and effective only after the changes are updated and a confirmation is received by me/us. After the new mode of holding and/or tax status updation any requests with the previous mode of holding and/or tax status will not be honored by the fund.					
×					
Sole/First Holder Second Holder		Third Holder			
To be signed as per Mode of Holding					
Request Date					
Acknowledgement (To be filled in by the investor)		Sundaram Mutual Fund			
Received, subject to verification, request for change of tax status.					
Folio No:	Time Stamp & Signature				

KYC details (Mandatory) (refer	r instruction 3) 🗆 Individual	☐ Non-Individual (Please attach mandatory F	ATCA-CRS Annexure for Entities including UBO	
Status of First/Sole Applicant [Please (🗸)] Listed Company Unlisted Company Individual Minor through guardian HUF Partnership Society/Club Company Body Corporate Trust Mutual Fund FPI NRI-Repatriable NRI-Non-Repatriable FII/Sub account of FII Fund of Funds in India QFI Others(please specifi	Occupation Details [Please (/)] (To be filled only if the applicant is an individual) First Applicant Private Sector Service Public Sector Service Professional Agriculturist Retired Housewife Student Forex Dealer Others	Below 1 Lac	PEP Status First Applicant For Individuals [Please (✓)] Politically Exposed Person (PEP) Status (Also applicable for authorised signatories/Promoters/Karta/Trustee/Whole time Directors) □ I am PEP □ I am related to PEP □ Non-Individuals providing any of the below mentioned services [Please (✓)] □ Foreign Exchange/Money Changer Services □ Gaming/Gambling/Lottery/Casino Services □ Money Lending/Pawning □ None of the above Second Applicant (To be filled only if the applicant is an individual) □ I am PEP □ I am related to PEP □ Not Applicable Third Applicant (To be filled only if the applicant is an individual) □ I am PEP □ I am related to PEP □ I am related to PEP □ Not Applicable	
FATCA-CRS DETAILS F	or Individuals & HUF (Mandatory)	Non Individual investors should manda	atorily fill separate FATCA-CRS Annexure	
<u> </u>	ired for all applicant(s) / guardian / Po			
Category	First Applicant/Guardian	Second Applicant	Third Applicant	
Are you a Tax Resident of Country other than India?	☐ Yes ☐ No	☐ Yes ☐ No	☐ Yes ☐ No	
2. Is your Country of Birth/ citizenship other than India?	☐ Yes ☐ No	☐ Yes ☐ No	☐ Yes ☐ No	
3. Is your Residence address / Mailing address / Telephone No. other than in India?	☐ Yes ☐ No	☐ Yes ☐ No	☐ Yes ☐ No	
4. Is the PoA holder / person to whom signatory authority is given, covered under any of the categories 1, 2 or 3 above?	☐ Yes ☐ No	☐ Yes ☐ No	☐ Yes ☐ No	
If you have answered YES to	any of above, please provide the belo	ow details		
Country of Tax Residence				
Nationality				
Tax Identification Number ^{\$} or Reason for not providing TIN				
Identification Type (TIN or Other, please specify)				
Residence address for tax purposes (include City, State, Country & Pin code)				
Address Type	☐ Residential or Business☐ Residential ☐ Business☐ Registered Office	□ Residential or Business□ Residential □ Business□ Registered Office	☐ Residential or Business ☐ Residential ☐ Business ☐ Registered Office	
City of birth				
Country of birth				
\$ In case any of applicant being resident/ tax payer in more than one country, provide tax identification number for each such country separately.				
PATCA-CRS Instructions Details under FATCA-CRS/Foreign Tax Laws: The Central Board of Direct Taxes has notified Rules 114F to 114H, as 1962, which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial of certifications and documentation from all our account holders. In certain circumstances (including if we do not receive you) we may be obliged to share information on your account with relevant tax authorities/aponified agencies. If you he tax residency, please contact your tax advisor. Should there be any change in any information provided by you, pl promptly, i.e., within 30 days. Towards compliance, we may also be required to provide information to any institutions or the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto. As may be required using a subject of the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto. As may be required using the subject of the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto. As may be required using the subject of the provide information to any institutions of the provide information to any institutions. It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identified or has not yet been issued, please provide an explanation with supporting dourments and attach this to the form.		s part of the Income Tax Rules owner information and certain e a valid self-certification from lave any questions about your lease ensure you advise us us such as withholding agents uired by domestic or overseas or suspend your account(s). Second Applicant idence field along with your ned in the US Hire Act 2010.		
	and any and account and account and to the form			